

Algorithms and Data Structures for Mathematicians

Lecture 4: Trees

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Room M-258

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Binary Trees and Their Representation

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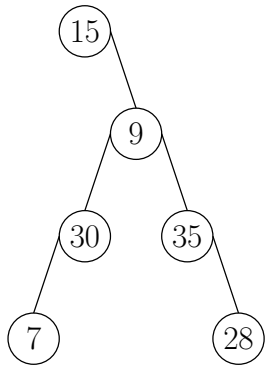
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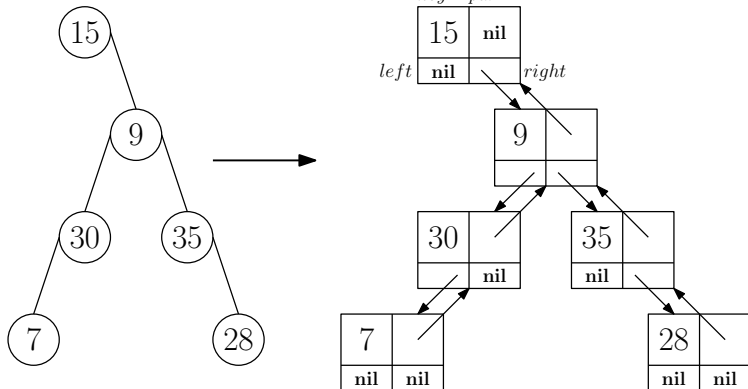
For general binary trees:

- ▶ Representation by arrays would not be so simple
- ▶ We shall use an approach similar to linked lists instead
- ▶ Binary trees will simply be “branching” linked lists
- ▶ Generalisation to k -ary trees is straightforward

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These can be used to realise, e.g., dictionaries or priority queues

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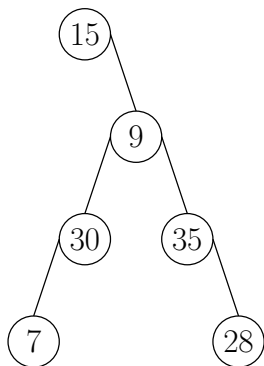
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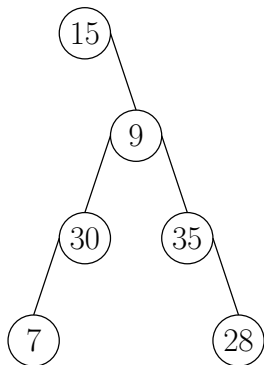
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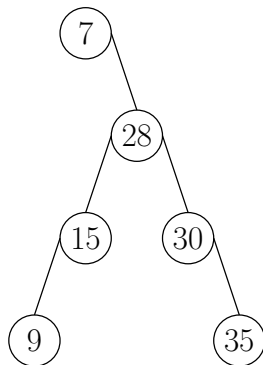
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if $x = \text{nil}$ **or** $k = x.\text{key}$ **then return** x ;

if $x \neq \text{nil}$ **and** $k \prec x.\text{key}$ **then return** $\text{BSTSEARCH}(x.\text{left}, k)$;

if $x \neq \text{nil}$ **and** $k \succ x.\text{key}$ **then return** $\text{BSTSEARCH}(x.\text{right}, k)$;

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- ▶ Time complexity: $\Theta(h_x)$, where h_x is the height of x in T

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return  $x;$ 
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```
if  $x.right \neq \text{nil}$  then  
    | return BSTMIN( $x.right$ )  
end  
else  
    |  $y \leftarrow y.par$ ;  
    | while  $y \neq \text{nil}$  and  $x = y.right$  do  
    |     |  $x = y$ ;  
    |     |  $y = y.par$ ;  
    | end  
    | return  $y$ ;  
end
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- ▶ Time complexity: $\Theta(h)$, where h is the height of the tree T
- ▶ Predecessors can be found in a symmetric way

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 $par \leftarrow \mathbf{nil};$   
 $y \leftarrow T.root;$   
while  $y \neq \mathbf{nil}$  do  
     $par \leftarrow y;$   
    if  $x.key < par.key$  then  $y \leftarrow par.left$  else  $y \leftarrow par.right;$   
end  
 $x.par \leftarrow par;$   
if  $par = \mathbf{nil}$  then  $T.root \leftarrow x$   
else  
    if  $x.key < par.key$  then  $par.left \leftarrow x$   
    if  $x.key \geq par.key$  then  $par.right \leftarrow x$   
end
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par  $\leftarrow$  nil;  
y  $\leftarrow T.root$ ;  
while y  $\neq$  nil do  
    | par  $\leftarrow$  y;  
    | if  $x.key \prec par.key$  then y  $\leftarrow par.left$  else y  $\leftarrow par.right$ ;  
end  
x.par  $\leftarrow par$ ;  
if par = nil then  $T.root \leftarrow x$   
else  
    | if  $x.key \prec par.key$  then par.left  $\leftarrow x$   
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 - ▶ If y is the right child of x , then replace x by y
 - ▶ Otherwise replace y by its right child and then x by y
- ▶ Replacements shall be done via **BSTTRANSPLANT**(T, u, v)

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BSTTRANSPLANT(T, u, v):

if $u.par = \text{nil}$ **then** $T.root \leftarrow v$;

else

if $u = u.par.left$ **then** $u.par.left \leftarrow v$;

if $u = u.par.right$ **then** $u.par.right \leftarrow v$;

end

if $v \neq \text{nil}$ **then** $v.par \leftarrow u.par$;

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- ▶ Time complexity: $\Theta(1)$

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```
if  $x.left = \text{nil}$  then  
|   BSTTRANSPLANT( $T, x, x.right$ )  
else if  $x.right = \text{nil}$  then  
|   BSTTRANSPLANT( $T, x, x.left$ )  
else  
|    $y \leftarrow \text{BSTMIN}(x.right);$   
|   if  $y.par \neq x$  then  
|   |   BSTTRANSPLANT( $T, y, y.right$ );  
|   |    $y.right \leftarrow x.right;$   
|   |    $y.right.par \leftarrow y;$   
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```

Binary Search Trees

BSTDELETE(T, x):

```
if  $x.left = \text{nil}$  then  
    | BSTTRANSPLANT( $T, x, x.right$ )  
else if  $x.right = \text{nil}$  then  
    | BSTTRANSPLANT( $T, x, x.left$ )  
else  
    |  $y \leftarrow \text{BSTMIN}(x.right);$   
    | if  $y.par \neq x$  then  
    | | BSTTRANSPLANT( $T, y, y.right$ );  
    | |  $y.right \leftarrow x.right;$   
    | |  $y.right.par \leftarrow y;$   
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    |  $y.left \leftarrow x.left;$   
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- Time complexity: $\Theta(h_x)$, where h_x is the height of x in T

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For most operations on binary search trees:

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Rotations

Elementary transformations retaining the binary search tree property

Rotations

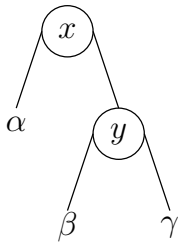
Elementary transformations retaining the binary search tree property

LEFTROTATE(x) :

Rotations

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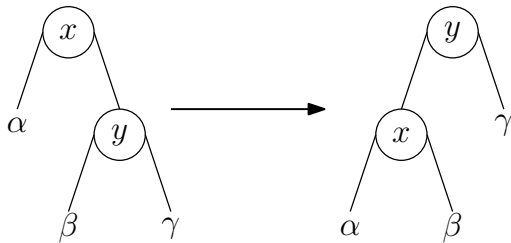
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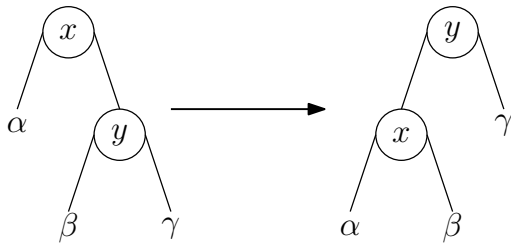
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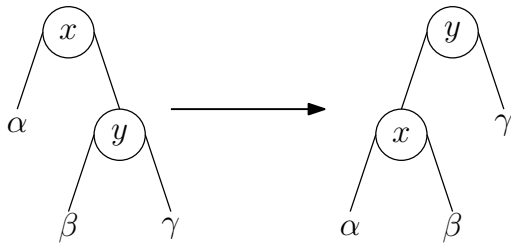


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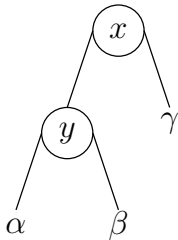
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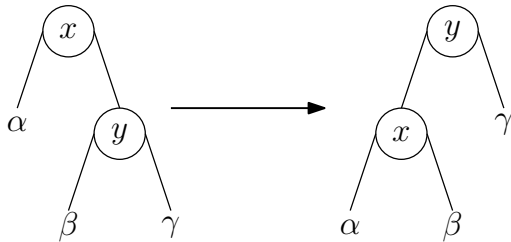
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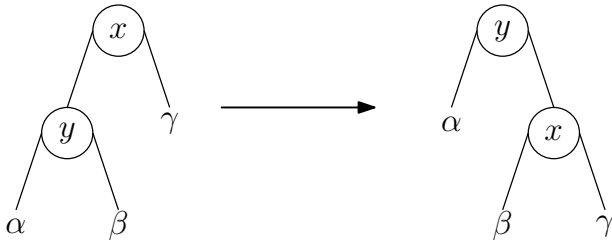
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if $x = y.par.left$ **then** $y.par.left \leftarrow y$;

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    | if  $x = y.par.left$  then  $y.par.left \leftarrow y;$   
    | if  $x = y.par.right$  then  $y.par.right \leftarrow y;$   
end  
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- ▶ Time complexity: $\Theta(1)$
- ▶ RIGHTROTATE(T, x) can be done symmetrically

Red-Black Trees

Binary search trees such that:

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Binary search trees such that:

- ▶ Each node has an additional attribute – a colour

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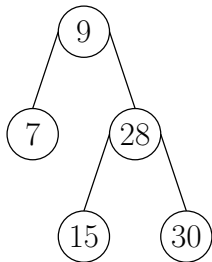
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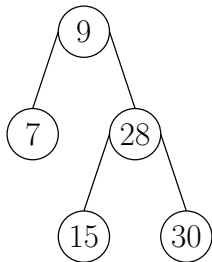
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- ▶ There are not so many red nodes. . .

Red-Black Trees

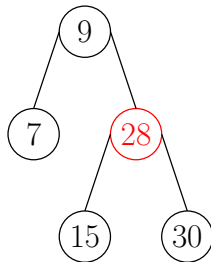


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Let T be a red-black tree with n nodes. Then the height of each node in T is at most $\Theta(\log n)$.

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See Cormen et al. for details

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- ▶ Using balanced search trees: $\Theta(n \log n)$